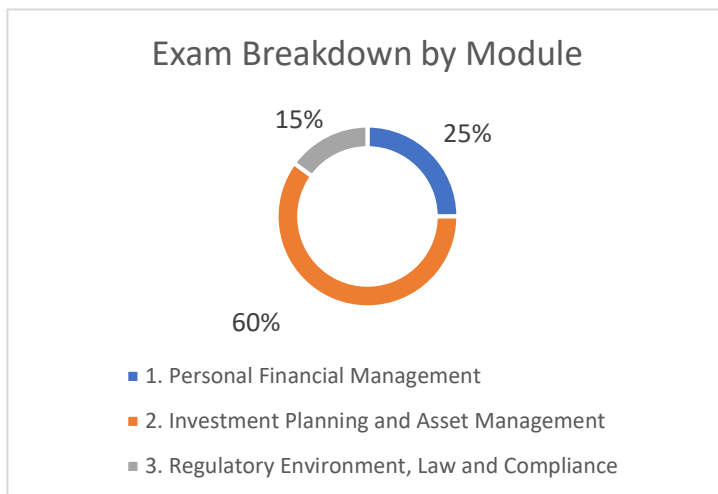


## Introduction

The FPSB® Investment Specialist Exam Blueprint communicates the guidelines on the content areas, difficulty levels, and percentage value assigned to competencies as defined within the CFP® Investment Specialist Competency Profile. This blueprint guides exam developers and the FPSB Ltd. Exam Panel in the development of the examination and assists exam-takers in understanding what will be tested on the examination. Every question on the examination focuses on a specific learning objective or knowledge item from the Competency Profile and may also require integration across several competencies. Exam candidates should use this blueprint combined with the competency profile to help prepare for the exam.

## Exam Overview

- 75 multiple-choice questions (4 possible answer choices)
- Computer-based testing format
- Duration - two hours will be allotted
- Financial calculators are allowed (once data is erased)
- Multiple choice format - each question has four possible answer choices, one of which is clearly the best choice.

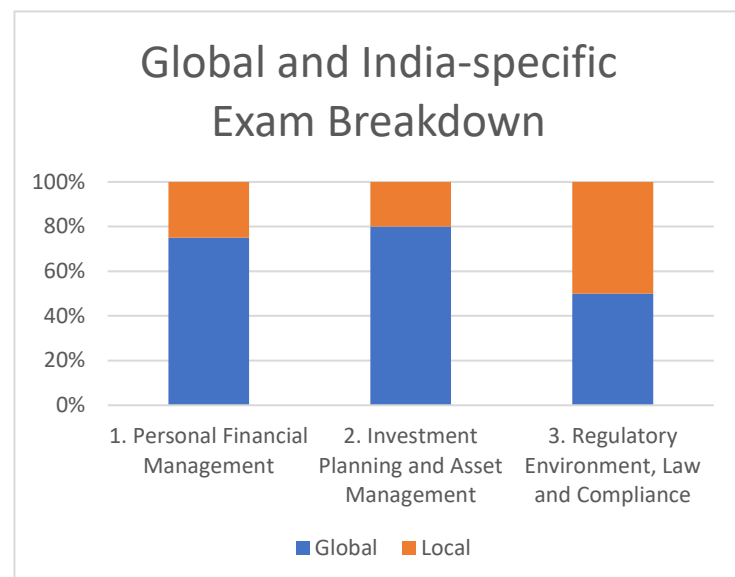


The FPSB® Investment Specialist certification exam will not have specific sections allocated to the modules; test-takers will see questions pertaining to each module in no specific order throughout the exam. Likewise, although the textbooks draw a distinction between “global” and “India-specific” sections, questions on each section will be interspersed in no specific order throughout the exam.

## Areas of Practice

The FPSB® Investment Specialist exam will test the knowledge, skills and abilities pertaining to the three modules based on the approximate specifications shown in the pie chart below.

The exam will test the following areas of practice. Refer to the FPSB® Investment Specialist Competency Profile for more detailed descriptions.

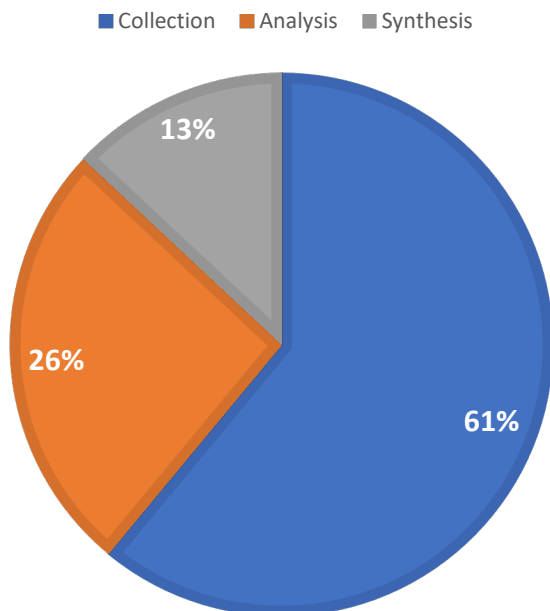


FPSB® Investment Specialist Areas of Practice		
<b>Personal Financial Management:</b> <ul style="list-style-type: none"> <li>• Personal Financial Situation</li> <li>• Time Value of Money</li> <li>• Cash Flow Demands and Conflicts</li> <li>• Budget and Emergency Funds</li> <li>• Debt and Financing Alternatives</li> <li>• Financial Management Strategies</li> </ul>	<b>Investment Planning and Asset Management:</b> <ul style="list-style-type: none"> <li>• Investment Objectives, Constraints and Suitability</li> <li>• Asset Classes and Securities</li> <li>• Pooled Investment Products</li> <li>• Principles of Investment Risk</li> <li>• Investment Performance Management</li> <li>• Investment Theory</li> <li>• Asset Allocation</li> <li>• Wealth Management</li> <li>• Behavioral Finance</li> </ul>	<b>Regulatory Environment, Law and Compliance:</b> <ul style="list-style-type: none"> <li>• Introduction to the Regulatory Environment</li> <li>• Legislated 'Client Best Interest' Requirement</li> <li>• Economic Environment and Financial Advice</li> <li>• Social and Political Environments</li> <li>• Compliance and Implications</li> <li>• Anti-Money Laundering</li> </ul>

### Difficulty Levels

The FPSB® Investment Specialist certification exam defines the knowledge, skills and abilities to be evaluated. This includes three difficulty levels: collection, analysis and synthesis.

Exam Difficulty Level



<p><b>Collection:</b> gathering information and identifying related facts by making required calculations and arranging client information for analysis. During the collection function, the core competency is to collect the quantitative information and qualitative information required to provide investment advice.</p>
<p><b>Analysis:</b> considers issues, performs financial analysis and assesses the resulting information to be able to develop strategies for the client. This includes: (1) to consider potential opportunities and constraints in developing strategies, and (2) to assess information to develop strategies.</p>
<p><b>Synthesis:</b> integrates the information needed to develop and evaluate strategies to create an investment plan.</p>